

Europäisches Patentamt
European Patent Office
Office européen des brevets



(11) EP 0 991 152 A2

(12) EUROPEAN PATENT APPLICATION

(43) Date of publication:
05.04.2000 Bulletin 2000/14

(51) Int. Cl.⁷: H01S 3/067

(21) Application number: 99115298.4

(22) Date of filing: 03.08.1999

(84) Designated Contracting States:
AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU
MC NL PT SE
Designated Extension States:
AL LT LV MK RO SI

(30) Priority: 07.08.1998 US 95646 P
11.05.1999 US 309489

(71) Applicant: JDS FITEL INC.
Nepean, Ontario K2G 5W8 (CA)

(72) Inventors:
• Zimmermann, Donald R.
Farmingdale, NJ 07727 (US)
• Cheng, Yihao
Kanata, Ontario K2M 2L6 (US)

(74) Representative:
Frei, Alexandra Sarah
Frei Patentanwaltsbüro
Postfach 768
8029 Zürich (CH)

(54) An optical filter for use in or with an optical amplifier

(57) This invention relates to invention relates to a method for filtering and a variable slope optical filter for in-line use with an optical amplifier signal. The filter has a wavelength response that is substantially linear in slope within a band of operation wavelengths of the amplifier; the slope of the filter in an operating band of wavelengths is between zero and a only one of a positive or negative number; furthermore the filter has an amplitude response that has an opposite and counter slope as a function of wavelength to that of a gain tilt of the amplifier within the operation band of wavelengths. Relative movement between the filter and the optical amplifier signal is provided to vary the slope of the filter by means of varying the amplitude wavelength response of the filter.

EP 0 991 152 A2

BEST AVAILABLE COPY

Description

[0001] This invention relates to a variable gain tilt control system for use with an optical amplifier, and more particularly with a rare earth doped optical fiber amplifier.

Background of the Invention

[0002] Optical amplifiers and particularly erbium doped optical fiber amplifiers are nearly ubiquitous in optical transmission systems, particularly in the field of telecommunications. Erbium doped fiber amplifiers (EDFAs) have high polarization insensitive gain, low cross talk between signals of different wavelengths, good saturation output power, and a noise figure close to the fundamental quantum limit. The excellent noise characteristics allow hundreds of these amplifiers to be cascaded to cover spans of thousands of kilometers of optical fibre. EDFAs as opposed to electronic repeaters are also transparent to data rate, signal format, and wavelength over a limited range, making them useful for wavelength multiplexed (WDM) communication systems that simultaneously transmit a large number of signals using different wavelength bands for each signal.

[0003] Notwithstanding these generally excellent characteristics, a disadvantage associated with EDFAs is their narrow spectral width and uneven gain band. The useful telecommunications window of an EDFA is approximately 20-30 nm wide, while an ideal amplifier would have a flat spectral gain across the full spectrum which extends from approximately 1520 nm to 1570 nm. The peak wavelength of the erbium gain spectrum varies from about 1530 nm to about 1535 nm depending upon the host glass material. Fig. 1 shows the characteristic gain spectrum of a particular conventional EDFA where it can be seen that the gain as a function of wavelength varies; this variation will be referred to hereinafter as gain ripple. Numerous techniques have been published for widening and flattening the gain spectrum (i.e. reducing the ripple) and include for example co-doping an erbium-doped silica glass fibre with Al_2O_3 ; changing the host glass material itself; using various forms of attenuating filters to reduce the gain at the emission peak; and, constructing hybrid devices having two or more different types of serially connected erbium doped fibre and actively adjusting pump conditions independently in each fibre section to compensate for the different gain slopes of each fibre.

[0004] In addition to the aforementioned problems and solutions associated with minimizing gain ripple, another significant problem exists to which there have been no simple, inexpensive, and practicable solutions. This other significant problem solved by this invention relates to improving dynamic gain tilt. The term dynamic gain tilt as used hereafter means the variation in gain at one wavelength as a result of changing the gain at any other wavelength via a change in input EDFA operating

conditions. Although the techniques described above for minimizing gain ripple can provide a relatively flat spectrum in a specified wavelength band for a specific set of input optical powers and wavelength, the gain equalization performance degrades rapidly when the gain is changed (change in average population inversion levels) from the nominal conditions by changing the input power to the amplifier. One reported solution to this problem is allegedly achieved by a hybrid fibre device having cascaded amplifying stages with different gain spectra and an equal number of pump sources to allow the gain spectra of the individual stages to be effectively tuned independently so that when the total gain is changed, the relative contribution of each stage can be adjusted to arrive at the desired gain, with a resulting gain spectrum having a minimal amount of spectral distortion over the selected wavelength band. As an example, an erbium doped fibre having a positive gain slope may be combined with a different erbium doped fibre having a negative gain slope such that the hybrid device has a nearly flat gain at specific input power conditions. However, if the overall gain of the hybrid device must be changed, the gain slope of each of the constituent states will generally change at different rates when the pump power input to one of the stages is changed. In order to achieve good compensation at the new operating point, the relative gain of each of the constituent gain stages must be readjusted to make the gain slopes compensate each other. In implementing this type of amplifier, one skilled in the art would likely cascade two or more different erbium doped fibre compositions and provide a separate pump source for each amplifying stage at an end of each stage so as to minimize the number of splices and make it as convenient as possible to independently control the pump power to each stage. However, this technique for reducing or improving dynamic gain tilt requires a complex control scheme during operation in which the total power of multiple pump sources must be coordinated in order to realize gain slope compensation over a range of different gains (i.e. to change input power while maintaining a fixed target output power).

[0005] United States Patent number 5,764,406 in the name of Newhouse et al. entitled Hybrid Optical Amplifier Dynamic Gain Tilt incorporated herein by reference, describes a system wherein an erbium doped fibre amplifier device has a dynamic gain tilt that is less than the gain tilt of any of the constituent fibres. The hybrid device has at most one less pumping source than the number of constituent waveguides of the device. The hybrid device automatically provides a change in the pump distribution among the constituent doped waveguide sections so as to achieve a readjustment of the relative gains of the constituent sections. In one embodiment, this invention provides constituent EDFs of different codopant compositions that provides an automatic change in the pump distribution or partitioning among the constituent EDF sections so as to

achieve a readjustment of the relative gains of the constituent EDF sections.

[0006] Although the '406 patent appears to achieve its intended function, it is a relatively costly and is a complicated solution to dynamically controlling gain tilt.

[0007] Most known solutions for correcting for dynamic gain tilt have an associated power loss (approximately 5dB) and furthermore, increased power is required for extra pumping. Another deleterious result of these systems is an increased resulting noise.

[0008] It is an object of this invention to provide a novel filter and method of use of such filter that can be placed in-line within an optical amplifier for varying the gain tilt dynamically as the power of the input signal is varied.

[0009] It is another object of the invention to provide an inexpensive filter for use in controlling tilt gain of an optical amplifier.

Summary of the Invention

[0010] In accordance with the invention, there is provided, a variable slope optical filter for in-line use with an optical amplifier signal, the filter being disposed at an input side, an output side, or within the optical amplifier, the filter having a wavelength response that is substantially linear in slope within a band of operation wavelengths of the amplifier, the slope of the filter in said band of wavelengths being between zero and a only one of a positive or negative number, the filter for passing a band of wavelengths having a centre wavelength λ_c and having an amplitude response that has an opposite and counter slope as a function of wavelength to that of the amplifier's gain tilt within the operation band of wavelengths; and, a means for providing relative movement between the filter and the optical amplifier signal to vary the slope of the filter within the band of operation wavelengths. It should be noted, that relative movement may be actual displacement of one of the filter and the input port or, alternatively, by varying the relative angle between the input port and the filter.

[0011] In accordance with the invention there is further provided, an optical amplifier having coupled thereto, an optical filter for dynamic and variable gain tilt control for use with the amplifier, the filter in a predetermined wavelength band having a width of at least 10 nm where gain tilt control is required, having a centre wavelength λ_c and an amplitude response that has an opposite and counter slope as a function of wavelength to that of the amplifier within the predetermined wavelength band; and,

a controller and movement mechanism for varying the slope of the filter in response to a control within the predetermined band, the output response of the filter having a slope throughout the predetermined wavelength band which is always only one of negative and positive.

[0012] In accordance with the invention there is further

provided, an optical filter for dynamic and variable gain tilt control of the signal, the filter in a wavelength band of operation where tilt control is required, having a centre wavelength λ_c and an amplitude response that has slope of an opposite sign as a function of wavelength to that of the amplified optical signal prior to passing through the optical filter within the predetermined wavelength band; and, means for relatively moving at least one of the beam and the filter to vary the slope of the filter in a substantially linear manner within the predetermined band as the beam passes therethrough, the output response of the filter having a slope throughout the predetermined wavelength band which only negative or positive.

[0013] In accordance with another aspect of the invention, a method for controlling gain tilt of an amplified beam is provided, comprising the steps of:

relatively moving at least one of a filter having two different regions and a beam to be filtered by the filter, each region of the filter having a different amplitude response as a function of wavelength, said relative movement being performed in a controlled manner to vary the power of the beam with wavelength, so as to correct for undesired gain tilt of the amplifier, at least one of the regions of the filter having a response that is substantially sloped to offset tilt, the filter being moved such that the beam propagates through portions of both regions of the filter, the proportion of the beam propagating through the two distinct regions being varied to dynamically correct for undesired gain tilt of the amplifier.

Brief Description of the Drawings

[0014] Exemplary embodiments of the invention will now be described in conjunction with the drawings, in which:

Fig. 1 is a graph of gain (dB) versus wavelength (nm) for a typical erbium doped amplifier;

Fig. 2a is a schematic diagram of an optical filter that functions in transmission in accordance with the invention wherein the filter is disposed between a pair of collimating/focusing lenses.

Fig. 2b is a graph of the output response of a portion of the optical filter shown in Fig. 2a;

Fig. 2c is a front functional view of the optical filter of Fig. 2a;

Fig. 3a is a schematic diagram of an alternative embodiment of a optical filter that functions in reflection in accordance with the invention;

Fig. 3b is a graph of the output response of a portion of the optical filter shown in Fig. 3a;

Fig. 3c is a diagram of the filter shown in Fig. 3a wherein the relative position of the beam and the filter has changed;

Fig. 3d is a graph of the output response of the filter of Fig. 3c;

Fig. 4a is a side view of a GRIN lens optically cou-

pled with a movable split filter, in accordance with the invention;

Fig. 4b is a graph of the spectral output response for the attenuating portion of the filter shown in Fig. 4a that is coated with thin film dichroic layers;

Fig. 5a is a side view of an alternative embodiment of a filter in accordance with the invention;

Figs 5b and 5c are output responses for two different portions of the filter shown in Fig. 5a;

Fig. 6a is an alternative embodiment of the invention wherein 3 cascaded movable filters in accordance with this invention are provided;

Fig. 6b is a graph of the output response of the three filters shown in Fig. 6a;

Fig. 7 is a detailed side view of a transmissive filter in accordance with the invention;

Fig. 8 is a detailed side view of a reflective filter in accordance with the invention;

Fig. 9a is a detailed view of an etalon filter in accordance with an aspect of this invention wherein one of the etalon reflective end faces has a continually varying reflectivity (finesse) along a substantial portion of one of its end faces;

Figs 9b is a graph of wavelength versus amplitude for the etalon filter shown in Fig. 9a, wherein three plots are shown for the filter in three different positions with respect to an input beam of light.

Fig. 9c is a detailed view of an etalon filter in accordance with an aspect of this invention wherein one of the etalon reflective end faces has a discretely varying reflectivity (finesse) along a portion of one of its end faces;

Fig. 9d is a detailed view of an etalon filter having variable reflectance surfaces at each end.

Detailed Description

[0015] Referring now to Fig. 1 the gain spectrum of a typical EDFA amplifier is shown wherein it can be seen that the gain as a function of wavelength varies.

[0016] For a wide variety of glass hosts, rare earth doped optical amplifiers and particularly EDFAs are effectively homogeneously broadened, and the overlap of dopant ions with the signal modes is nearly wavelength independent. As such, the gain spectrum, here being the small signal gain that would be measured by a weak probe signal while the operating point of the amplifier is fixed, is constrained to a single parameter family of wavelength dependencies. Therefore if gain of the amplifier at some reference wavelength is changed due to a change in input (i.e., pump and/or signal power) the amplifier gain at other wavelengths will change by well defined amounts that likely are to be different from the amount of gain change at the reference wavelength. The wavelength dependence of the amplifier gain change as of result of an input change is referred to herein as dynamic gain tilt. Hence, the dynamic gain tilt is a distortion of the amplifier gain

spectrum for operating conditions that differ from the operating point for which the amplifier was designed.

[0017] As it was pointed out in the background of this invention, filters have been provided heretofore for off-setting and flattening the gain spectrum of conventional EDFAs. However providing such a fixed filter does not provide a solution to controlling distortion due to dynamic gain tilt a condition that varies with input power of the input optical signal.

[0018] Turning now to Fig. 2a, an arrangement is shown in accordance with this invention, wherein a filter 10, shown in detail in Fig. 2c has a first region 10a consisting of substantially light transmissive material such as clear glass and a second region 10b juxtaposed to the first region having a dichroic multi-layer interference filter disposed thereon. The second region 10b in a preferred embodiment has a sloped transmission response versus wavelength shown in Fig. 2b. The dimension of the first and second region should be at least the size of the beam incident upon the filter. The term filter used within this specification should include any medium provides an output signal which alters a characteristic of light incident thereupon. In this embodiment, the filter is disposed between two graded index (GRIN) lenses 12 in a conventional manner, wherein the lenses are used to collimate light exiting the optical fibre 8a, to provide a collimated beam at the input side of the filter 10, and for focusing the collimated beam that has traversed the filter 10, for coupling the beam into the optical fibre 8b. Means for moving the filter 10 along a line coincident with arrows 14a and 14b are provided (not shown in Fig. 2a); such means can conveniently be provided in the form of a stepper motor or alternatively in the form of a piezo-electric actuator. Control circuitry and means for moving the filter in dependence upon the control signal are well known in the art and shall not be described.

[0019] In operation, the system in accordance with this invention functions in the following manner. When the filter 10 is disposed in a position such that the beam incident thereon passes entirely through the clear portion 10a of the filter 10, the amplitude of the beam as a function of wavelength is essentially unchanged. Hence if the input beam has a signature or amplitude response such as the spectrum shown in Fig. 1, the output beam that has propagated through the clear portion of the filter 10a will essentially be unaffected and will have the same amplitude response as a function of wavelength. On the other hand, if an input beam has a positive gain tilt due to a change in power of the input beam, passing this beam through the negatively sloped portion 10b of the filter 10 lessens the effect of the positive gain tilt. Most importantly and paramount to this invention, the filter 10 is positionable and dynamically controlled by an actuator and control circuitry (not shown) such that the filter is positionable at locations along the line defined by the arrows 14a and 14b. Hence, a beam incident upon the filter 10 passes through more or less of the portion 10b and less or more of the portion 10a, respec-

tively depending upon the position of the actuator. When a steeper sloped response is required the filter 10 is moved into a position such that less of the beam passes through the clear portion 10a of the filter 10 and more of the beam passes through the portion 10b. By relatively varying position of the beam and the filter such that a greater portion of the beam passes through the region 10b than the region 10a, the slope of the amplitude response with wavelength is augmented, thus affording a means of dynamically controlling for gain tilt. Conversely control of tilt in an opposite direction can be realized by lessening the portion of the beam that passes through the region 10b and increasing the portion that passes through the clear portion 10a of the filter 10. This is illustrated more clearly by viewing Figs. 3a to 3d. Fig. 3a illustrates a response of the filter 10 and more particularly 10b as it would filter a beam of light 30 having a diameter of approximately 300 μm , traversing the filter through the portion 10b, (as is shown in Fig. 3b). Fig. 3c illustrates a response of the same filter, for a same beam 30 traversing the filter (as is shown in Fig. 3d) through both regions 10a and 10b. It is noted that the absolute value of the slope of the line 32a is greater than the absolute value of the slope of the line 32b since some of the light in Fig. 3d is passing through the clear portion of the filter. In the extreme case, where all of the light is passing through the clear portion 10a of the filter, the filter's response is flat, and as more of the light passes through the portion 10b, the absolute value of the slope increases to a maximum, in dependence upon the filter's characteristics.

[0020] Turning now to Fig. 4a, an alternative embodiment of the invention is shown, wherein a first reflective filter 40 is shown having a first portion that is completely reflective to all wavelengths, and a second portion having a reflection response with wavelength shown in Fig. 4b. This embodiment works in a similar manner to the embodiment shown in Fig. 2a, however it is based on reflection rather than transmission. In both of the embodiments shown in Figs. 2a and 4a a filter having a second portion with negative tilt is shown, however, alternatively a filter with portion with positive tilt can be provided in instances where this is required.

[0021] Fig. 5a illustrates an arrangement similar to that of Fig. 2a, however the filter 50 has a first portion 10c having a response with a positive slope, and a second portion having a response having a negative slope. Alternatively, a hybrid filter can be provided wherein a clear portion 10a is provided having at its extremities filters 10b and 10c as have been described.

[0022] Figs 5b and 5c show the output amplitude versus wavelength transmission responses for the filters 10b and 10c respectively.

[0023] In another embodiment of this invention, a series of cascaded filters of this type can be used as tunable gain flattening filters. Fig. 6a shows an embodiment according to the invention wherein three filters 62, 64, and 66 are each tunable and designed to filter a dif-

ferent wavelength band. Fig. 6b illustrates the response for the three filters, and a dashed line in the graph illustrates the response of the individual filters. Less attenuation can be provided for any of the three wavelength bands by positioning any of the filters such that the beam traverses some or all of the no-attenuating portion of the filters.

[0024] In order to lessen unwanted effects of a difference in phase for part of the beam traversing the thin film dichroic filter compared with that portion of the beam traversing the clear glass substrate, the optical length of the two sections 10a and 10b can be matched to the appropriate tolerance. Turning now to Fig. 7, a side view of a transmissive filter in accordance with this invention is shown. Two glass blocks 70a and 70b are provided having an unattenuating substrate 72a and a thin film coated substrate 72b. The inner meeting edges of the two substrates 72a and 72b are polished. Between the glass blocks and the substrates 72a and 72b is a refractive index matching epoxy 75.

[0025] Fig. 8 shows a detailed side view of a reflective split filter wherein only a single glass block is required. An index matching epoxy is disposed between the glass block 70a and a reflective thin film coated substrate 82b. Adjacent the substrate 82b is a glass substrate 82a having a reflective coating.

[0026] Turning now to Fig. 9a, an etalon filter 94 is shown having an input end face 96 coated in such a manner as to have the reflectivity varying substantially linearly along its length in a direction from high reflectivity to lower reflectivity towards the bottom of the filter. The opposite end face 97, in this example has a constant reflectivity coating along its length, however is not limited to such. The etalon can conveniently be made of a single light transmissive block having two at least partially reflective end faces coated end faces. Since the input end face has a coating with a reflectivity which varies along the length thereof, by moving the light beam to be filtered and/or the lens, relatively, light will pass through the etalon such that the etalon will have a different finesse at different relative positions. By so doing, the slope of the output response of the etalon changes within a wavelength range of interest. Of course the free spectral range of the etalon must be chosen to provide a suitable window between two different adjacent zero sloping regions of the etalon, and of course the etalon must be tuned or selected to such that the sign (+/-) of the slope is suitable to offset the unwanted tilt of the amplifier to which it is coupled. Hence, a suitable fixed etalon or tunable etalon can be used.

[0027] Fig. 9b illustrates three output responses of the filter shown in Fig. 9a for light launched into three locations along the varying reflective end face. A first sinusoidal-like wave labeled 90a illustrates the amplitude output response versus wavelength for light launched into location A. The second sinusoidal-like wave labeled 90b illustrates the amplitude output response versus wavelength for light launched into location B; and the

third sinusoidal-like wave labeled 90c illustrates the amplitude output response versus wavelength for light launched into location C. Since the amplitude of the three sinusoidal-like waves is significantly different, the corresponding slopes of each of the sinusoidal-like waveforms varies as well. By using this sloped part of the response as the useful working range of the filter, and varying the slope within this working range without varying the centre operating wavelength, a useful filter is provided for controlling unwanted gain tilt from an in-line amplifier.

[0028] Fig. 9c is similar to that of Fig. 9a, however the input end face of the etalon has three different discrete portions essentially providing a three-stepped-finesse etalon.

[0029] Fig. 9d shows an etalon wherein each end face has a variable reflectance; here a beam of light incident at a location of the etalon would reflect between two surfaces having a same reflectance, however when the input port is moved by relatively moving at least one of the port and the etalon, the reflectance is varied.

[0030] Within this specification different regions of the filter may be distinct and different regions, or alternatively may be different regions having some common portions. For example relatively moving an input port and a variable reflectance face of the etalon described heretofore, is considered launching a beam into different regions of the etalon filter.

[0031] Of course, numerous other embodiments may be envisaged, without departing from the spirit and scope of the invention. For example, in the embodiments shown heretofore, the filter is shown and described to be movable, however, an embodiment wherein the beam is shifted can be realized.

Claims

1. A variable slope optical filter for in-line use with an optical amplifier signal, the filter being disposed at an input side, an output side, or within the optical amplifier, the filter having a wavelength response that is substantially linear in slope within a band of operation wavelengths of the amplifier, within a substantial portion of an operating wavelength band the slope of the filter in said band of wavelengths being between zero and a only one of a positive or negative number, the filter for passing a band of wavelengths having a centre wavelength λ_c and having an amplitude response that has an opposite and counter slope as a function of wavelength to that of a gain tilt of the amplifier within the operation band of wavelengths; and,
a means for providing relative movement between the filter and the optical amplifier signal to vary the slope of the filter by means of varying the amplitude wavelength response of the filter.

2. A variable slope optical filter as defined in claim 1,

wherein the substantial portion is at least 70% of the operating wavelength band.

3. A variable slope optical filter as defined in claim 1 where the slope of the amplitude output response of the optical filter is only one of, continually increasing or continually decreasing, wherein the operation band of wavelengths is at least 10 nm wide.
4. A variable slope optical filter as defined in claim 3, further comprising control means for controlling the means for relatively moving the filter and the optical amplifier signal.
5. A variable slope optical filter as defined in claim 4, wherein the optical filter is an etalon having two at least partial reflectors spaced at least predetermined distance apart to provide a suitable free spectral range for operating the optical filter and, wherein at least one of the reflectors has a varying reflectance along its length.
6. A variable slope optical filter as defined in claim 5 wherein the varying reflectance is substantially varying in a continuous manner along a portion of one side of a face of the etalon to provide a plurality of reflectances as the optical signal and the filter are relatively moved.
7. A variable slope optical filter as defined in claim 5 wherein the varying reflectance is substantially varying in a discrete manner along a portion of one side of a face of the etalon to provide a plurality of reflectances as the optical signal and the filter are relatively moved.
8. A variable slope optical filter as defined in claim 1 wherein the centre wavelength of the filter in the band of operation is substantially unchanged.
9. A variable slope optical filter as defined in claim 5 wherein the centre wavelength of the filter in the band of operation is substantially unchanged.
10. An optical amplifier having coupled thereto, an optical filter for dynamic and variable gain tilt control for use with the amplifier, the filter in a predetermined wavelength band having a width of at least 10 nm where tilt control is required, having a centre wavelength λ_c and an amplitude response that has an opposite and counter slope as a function of wavelength to a gain tilt of the amplifier within the predetermined wavelength band; and, a controller and movement mechanism for varying the slope of the filter in response to a control signal within the predetermined band, the output response of the filter having a slope throughout the predetermined wave-

length band which is always only one of negative and positive at any instance.

11. An optical amplifier as defined in claim 10, wherein the optical filter is an etalon, having a at least partially reflective input end and an at least partially reflective output end, one of the input and output ends, having a reflectivity which varies along its length. 5
12. An optical amplifier as defined in claim 10, wherein the optical filter has two regions each having a different amplitude response to portions of a beam passing therethrough; means for relatively moving the filter and the beam in a controlled manner so as to dynamically attenuate the beam of light. 15
13. An optical amplifier for amplifying an optical signal, the optical amplifier having an optical filter for dynamic and variable gain tilt control of the signal, the filter in a wavelength band of operation where gain tilt control is required, having a centre wavelength λ_c and an amplitude response that has slope of an opposite sign as a function of wavelength to that of the gain tilt of the amplifier prior to passing through the optical filter within the predetermined wavelength band; and, 20
means for relatively moving at least one of the optical signal and the filter to vary the slope of the filter within the predetermined band as the beam passes therethrough, the output response of the filter having a slope throughout at least 80% the predetermined wavelength band which is zero or only negative or positive. 25
14. The filtering system as defined in claim 12, wherein the means for relatively moving the filter and the beam in a controlled manner is dependent upon a power of the beam as it varies with wavelength, said filtering system for correcting for undesired gain tilt of an amplifier, one of the two regions of the filter having an output response that varies in amplitude with wavelength such that it is substantially sloped to offset the undesired gain tilt, at least one of the beam and the filter being movable such that the beam is capable of being directed through portions of both regions of the filter variably to dynamically correct for undesired gain tilt of the amplifier. 30
15. A method for controlling gain tilt of an amplified beam comprising the steps of: relatively moving at least one of a filter having two regions and a beam to be filtered by the filter, each region of the filter having a different amplitude response as a function of wavelength, said relative movement being performed in a controlled manner to vary the power of the beam with wavelength, so as to correct for undesired gain tilt of the amplifier, at least one of 35

the regions of the filter having an amplitude response that is substantially sloped to offset gain tilt, the filter being moved such that the beam propagates through portions of both regions of the filter, the proportion of the beam propagating through the two regions being varied to dynamically correct for undesired gain tilt of the amplifier.

16. A method of controlling for gain tilt of an amplified beam comprising the steps of: 40

- a) providing a filter having at least two different regions, a first region having a first sloped amplitude response with wavelength, and a second other region having a different response;
- b) launching the beam into the filter; and,
- c) relatively moving the amplified beam and the filter in a controlled manner so that a ratio of a portion of the beam passing through the first region and a portion of the beam passing through the second other region is varied to dynamically correct for undesired gain tilt of the amplifier. 45

17. A method for controlling gain tilt of an amplified beam as defined in claim 16, wherein the step of launching the beam into the filter includes simultaneously passing portions of the amplified beam in a controlled manner through at least two different regions of a filter, the different regions having a different amplitude response, one of the regions of the filter having a response that is substantially sloped, the filter being moved such that the beam partially propagates through portions of both regions, the portions of the beam propagating through the different regions being varied to dynamically correct for undesired gain tilt of the amplifier. 50

18. An optical amplifier having an optical filter in-line therewith, the optical filter for providing a variable sloped output response within a portion of an spectrum wherein the amplifier amplifies. 55

19. An optical amplifier as defined in claim 18 wherein the variation in the slope is substantially liner within a 10 nm wavelength range.

20. A method for controlling gain tilt of an amplified beam comprising the steps of: relatively moving at least a filter and a beam in a controlled manner as the power of the beam is varied with wavelength, so as to correct for undesired gain tilt of the amplifier, the filter having an amplitude response within at least a 10 nm wavelength band that is substantially sloped to offset tilt, the slope of the filter being variable and controllable.

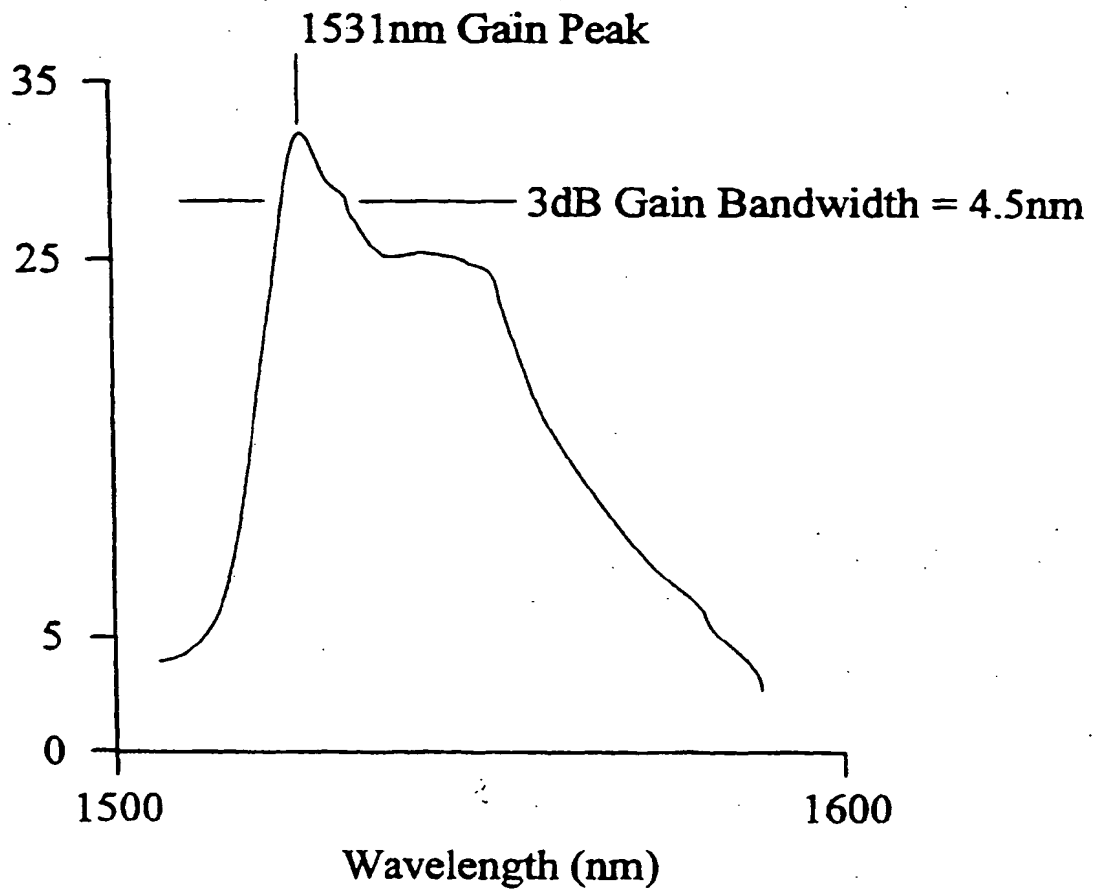
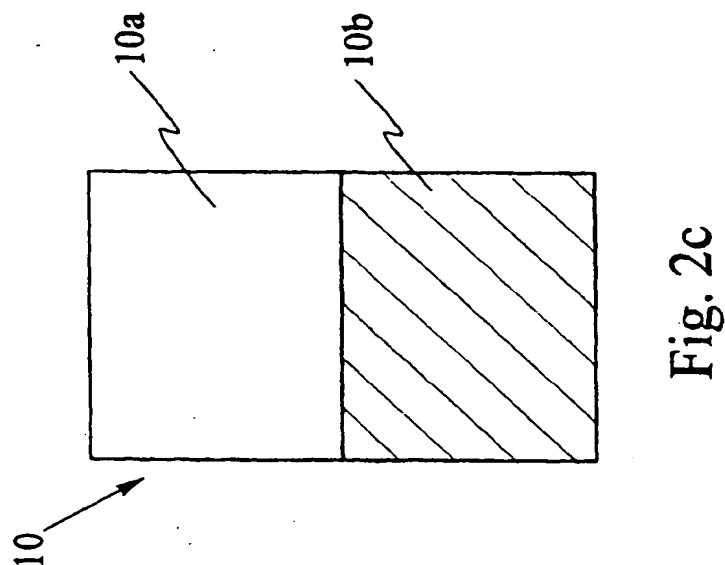
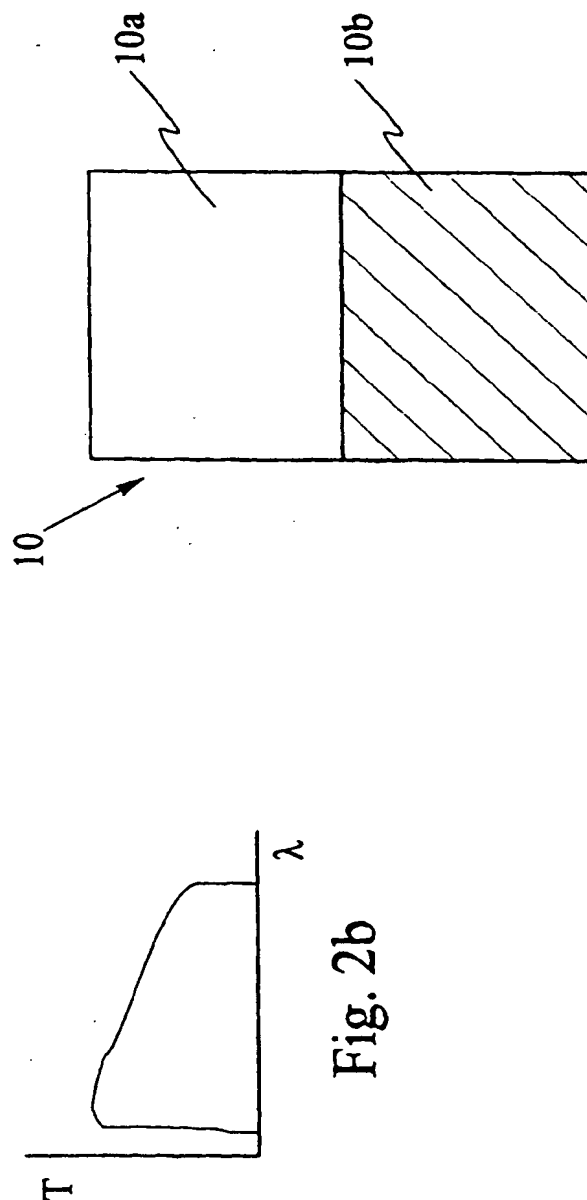
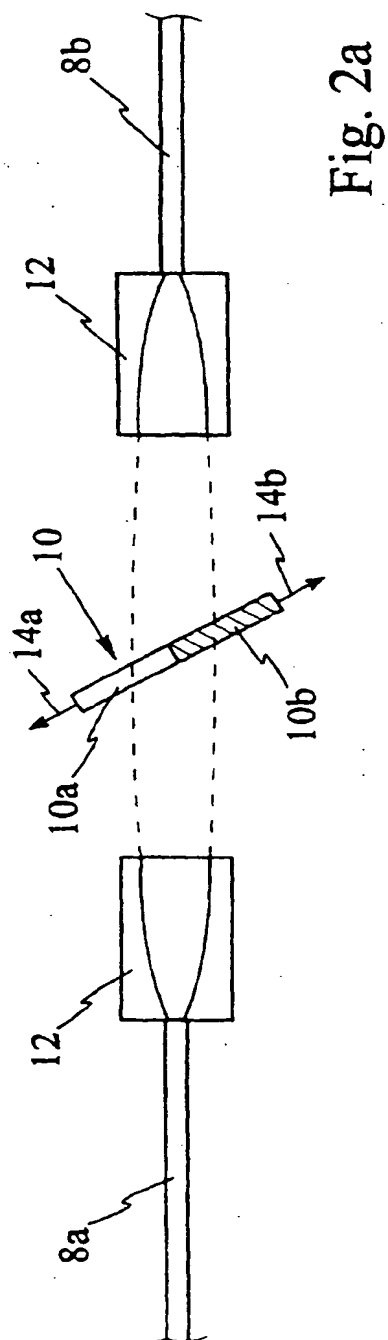


Fig. 1



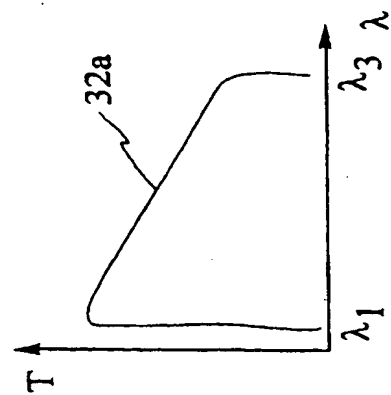


Fig. 3a

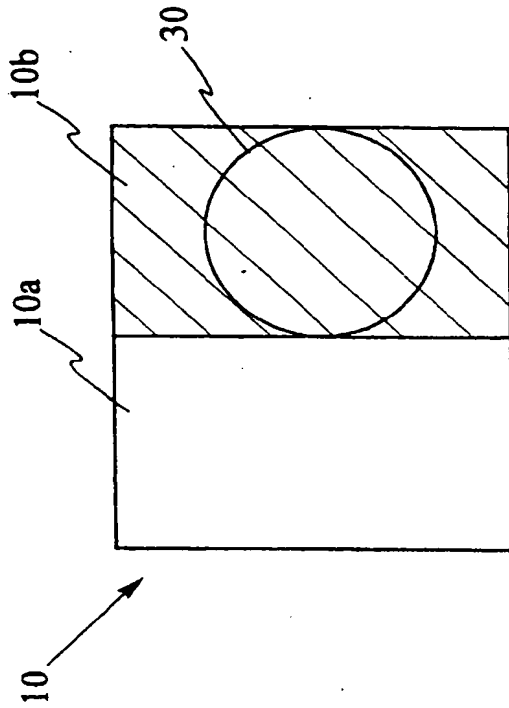


Fig. 3b

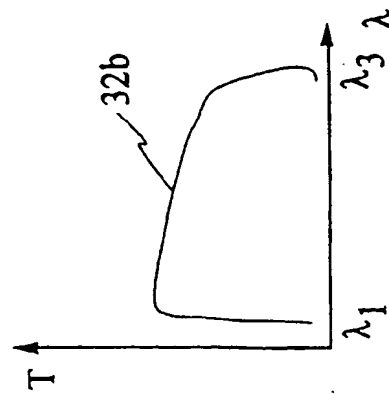


Fig. 3c

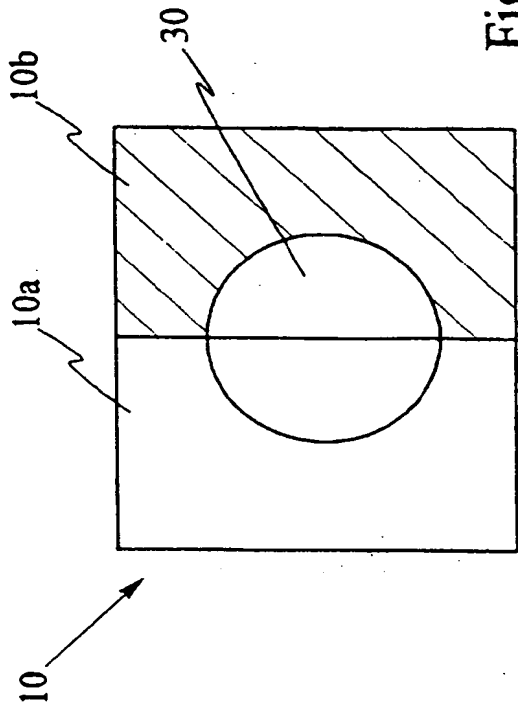


Fig. 3d

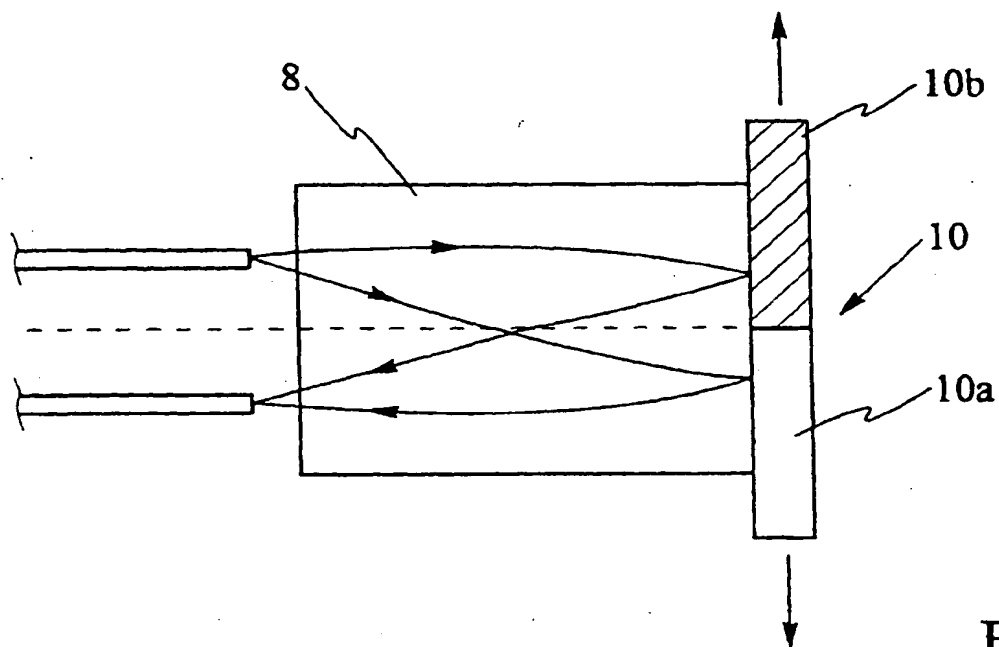


Fig. 4a

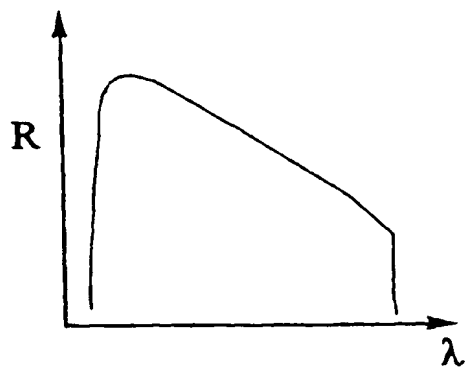


Fig. 4b

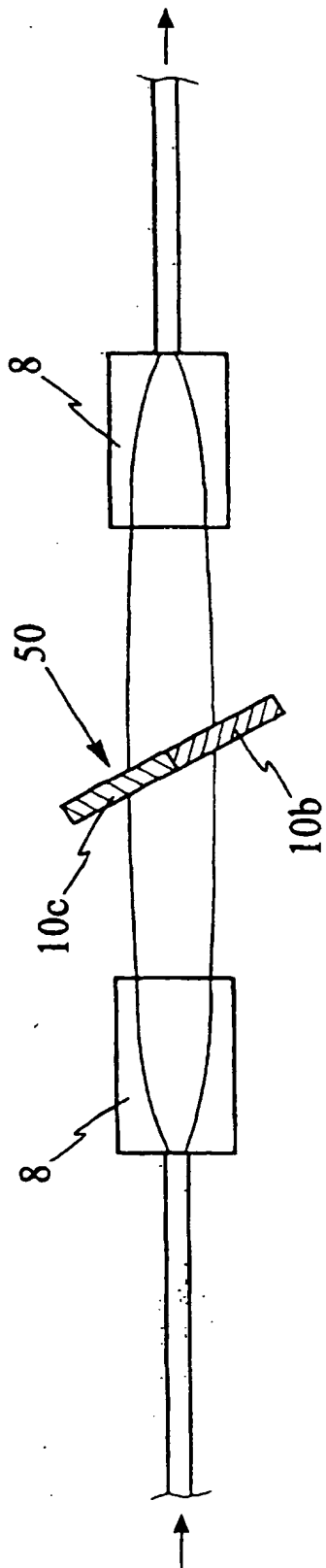


Fig. 5a

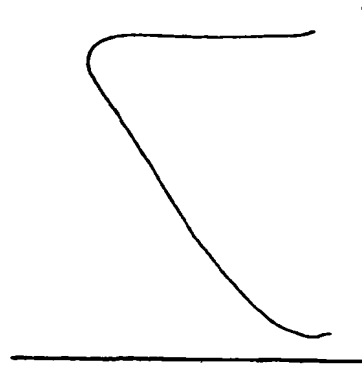


Fig. 5c

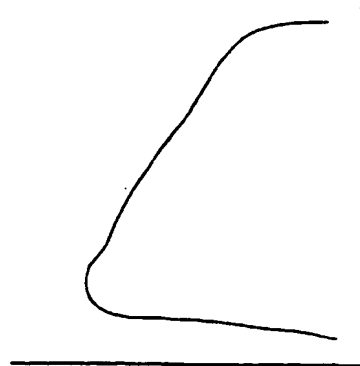


Fig. 5b

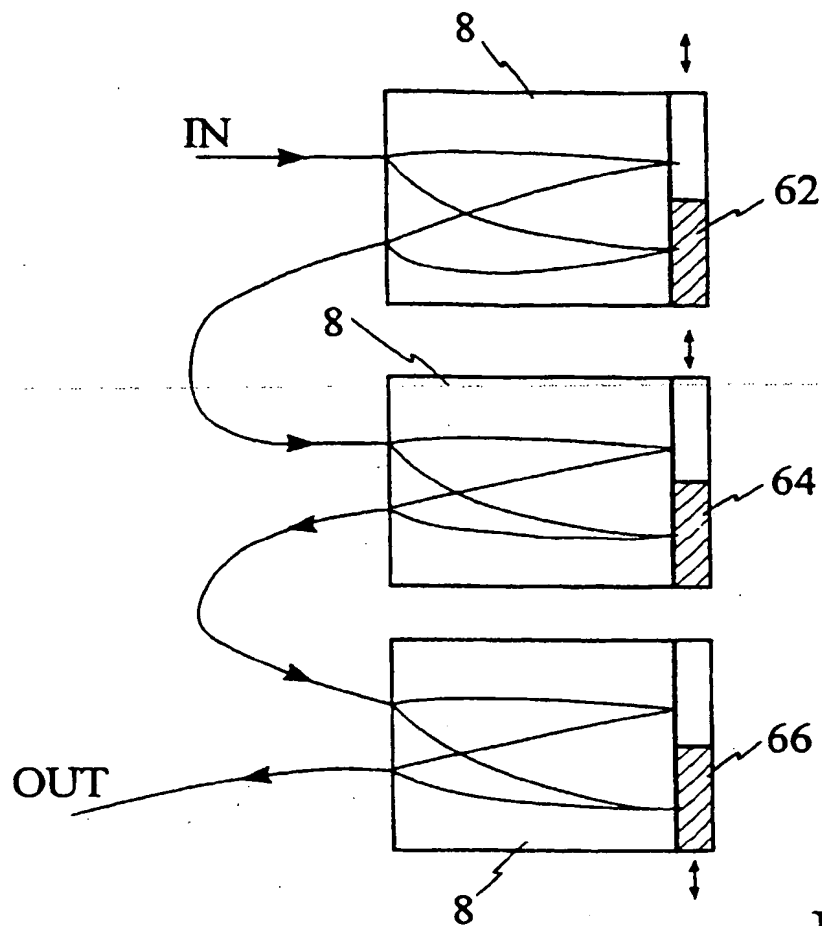


Fig. 6a

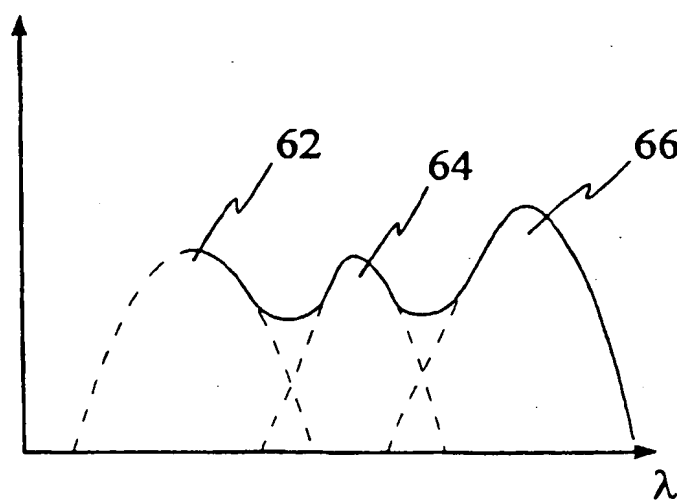


Fig. 6b

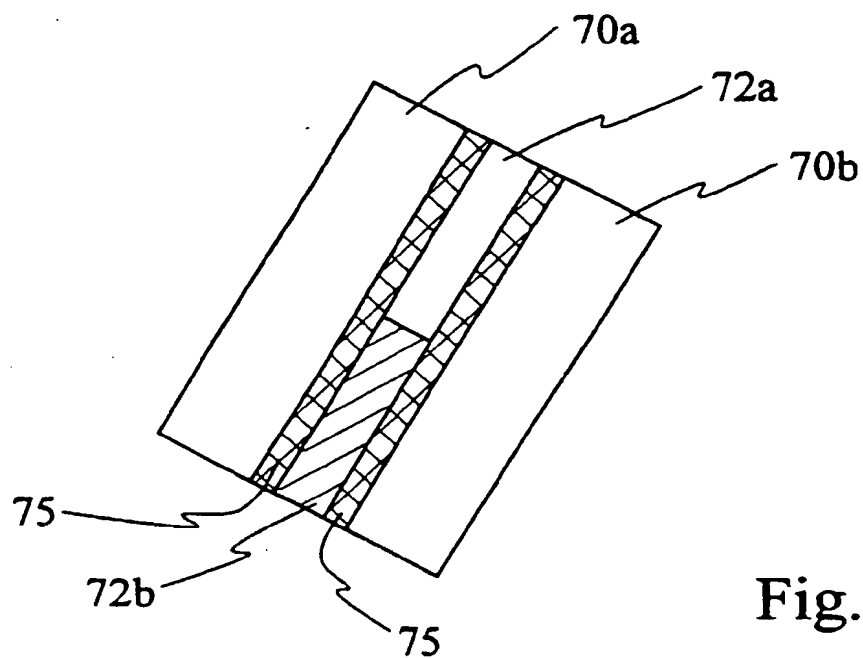


Fig. 7

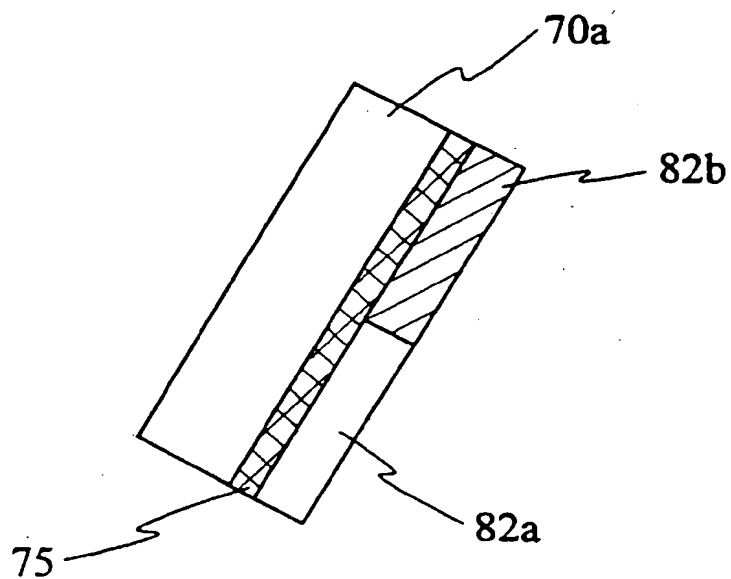


Fig. 8

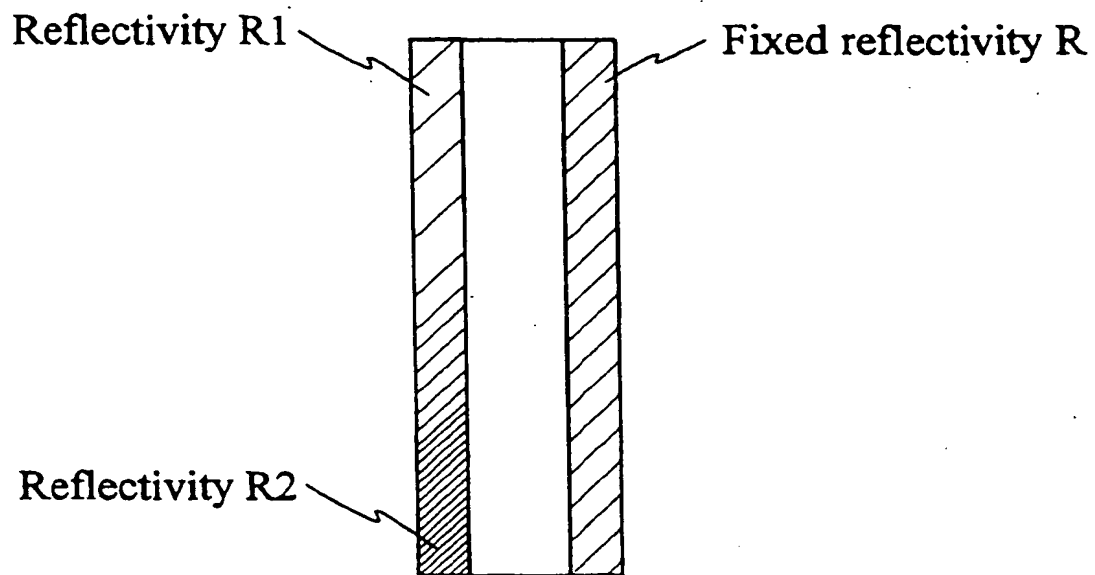


Fig. 9a

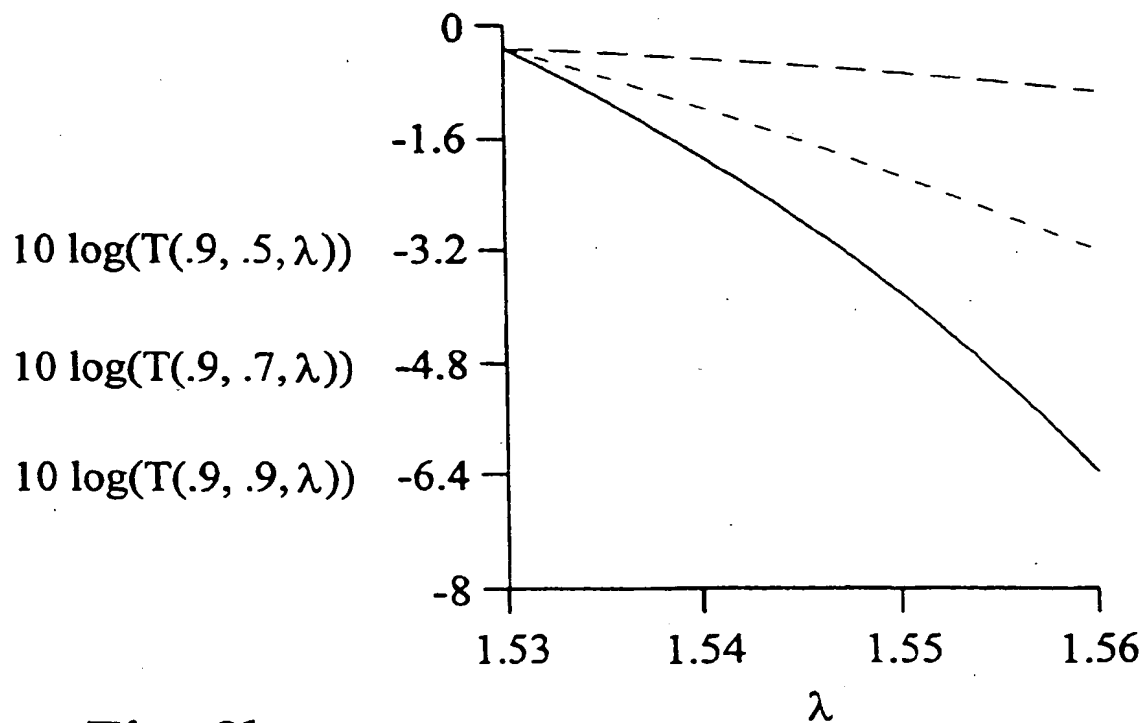


Fig. 9b

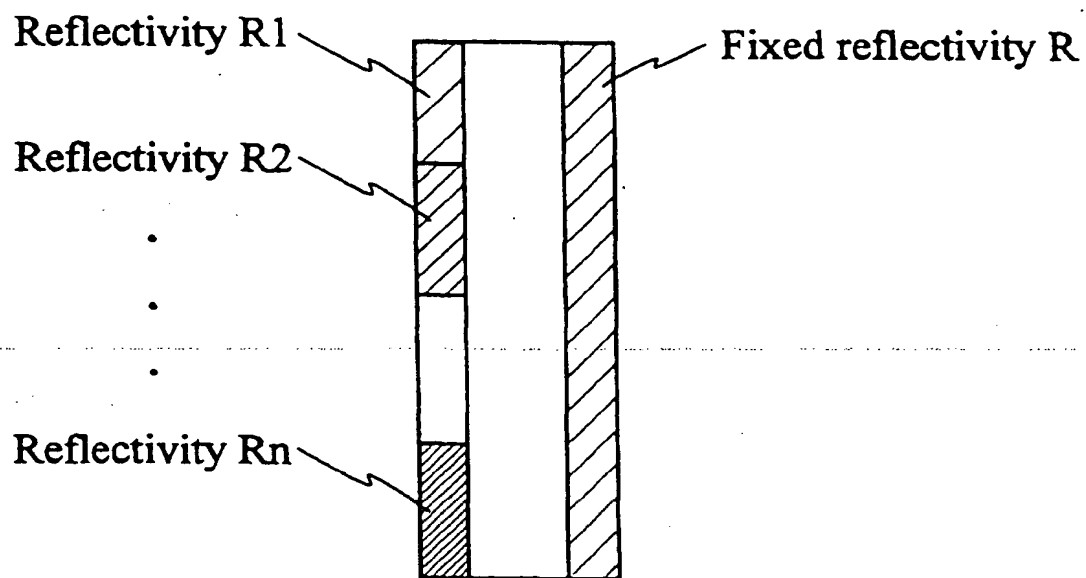


Fig. 9c

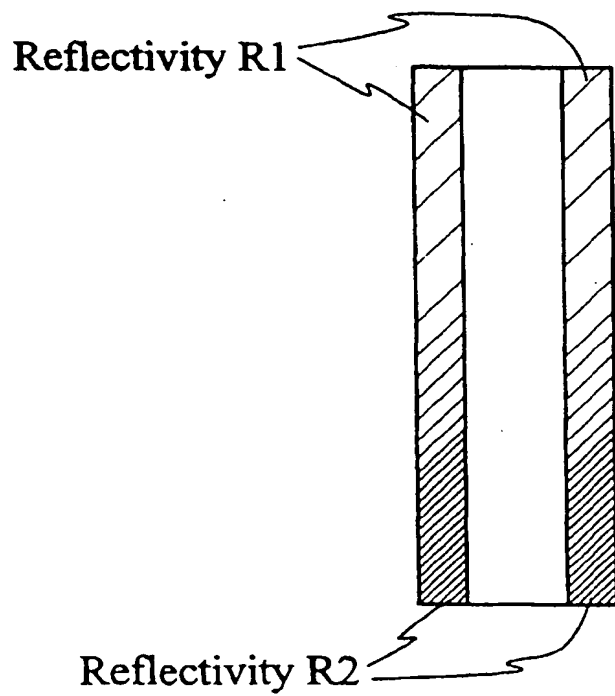
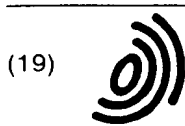


Fig. 9d



Europäisches Patentamt
European Patent Office
Office européen des brevets



(11) **EP 0 991 152 A3**

(12)

EUROPEAN PATENT APPLICATION

(88) Date of publication A3:
24.10.2001 Bulletin 2001/43

(51) Int Cl.7: **H01S 3/067**

(43) Date of publication A2:
05.04.2000 Bulletin 2000/14

(21) Application number: **99115298.4**

(22) Date of filing: **03.08.1999**

(84) Designated Contracting States:
**AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU
MC NL PT SE**
Designated Extension States:
AL LT LV MK RO SI

(72) Inventors:
• Zimmermann, Donald R.
Farmingdale, NJ 07727 (US)
• Cheng, Yihao
Kanata, Ontario K2M 2L6 (US)

(30) Priority: **07.08.1998 US 95646 P**
11.05.1999 US 309489

(74) Representative: **Frei, Alexandra Sarah**
Frei Patentanwaltsbüro
Postfach 768
8029 Zürich (CH)

(71) Applicant: **JDS FITEL INC.**
Nepean, Ontario K2G 5W8 (CA)

(54) **An optical filter for use in or with an optical amplifier**

(57) This invention relates to invention relates to a method for filtering and a variable slope optical filter for in-line use with an optical amplifier signal. The filter has a wavelength response that is substantially linear in slope within a band of operation wavelengths of the amplifier; the slope of the filter in an operating band of wavelengths is between zero and a only one of a posi-

tive or negative number; furthermore the filter has an amplitude response that has an opposite and counter slope as a function of wavelength to that of a gain tilt of the amplifier within the operation band of wavelengths. Relative movement between the filter and the optical amplifier signal is provided to vary the slope of the filter by means of varying the amplitude wavelength response of the filter.

EP 0 991 152 A3



European Patent
Office

EUROPEAN SEARCH REPORT

Application Number
EP 99 11 5298

| DOCUMENTS CONSIDERED TO BE RELEVANT | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|
| Category | Citation of document with indication, where appropriate, of relevant passages | Relevant to claim | CLASSIFICATION OF THE APPLICATION (Int.Cl.7) |
| A | US 5 583 689 A (ARMITAGE JONATHAN R ET AL) 10 December 1996 (1996-12-10) * abstract; figures 1,5,10 * | 1,10,13, 15,16, 18,20 | H01S3/067 |
| A | EP 0 713 273 A (OKI ELECTRIC IND CO LTD) 22 May 1996 (1996-05-22) * abstract; figures 1-3 * | 1,10,13, 15,16, 18,20 | |
| A | US 5 436 760 A (NAKABAYASHI YUKINOBU) 25 July 1995 (1995-07-25) * abstract * | 1,10,13, 15,16, 18,20 | |
| The present search report has been drawn up for all claims | | | TECHNICAL FIELDS SEARCHED (Int.Cl.7) H01S |
| Place of search THE HAGUE | | Date of completion of the search 30 August 2001 | Examiner Galanti, M |
| CATEGORY OF CITED DOCUMENTS X: particularly relevant if taken alone Y: particularly relevant if combined with another document of the same category A: technological background C: non-written disclosure D: intermediate document | | I: theory or principle underlying the invention E: earlier patent document, but published on, or after the filing date U: document cited in the application F: document cited for other reasons S: member of the same patent family corresponding document | |

**ANNEX TO THE EUROPEAN SEARCH REPORT
ON EUROPEAN PATENT APPLICATION NO.**

EP 99 11 5298

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on the European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

30-08-2001

| Patent document cited in search report | Publication date | Patent family members* | Publication date |
|-------------------------------------------|---------------------|---------------------------|---------------------|
| US 5583689 A | 10-12-1996 | AU 685747 B | 29-01-1998 |
| | | AU 4339393 A | 30-12-1993 |
| | | CA 2137082 A | 09-12-1993 |
| | | EP 0643878 A | 22-03-1995 |
| | | WO 9324977 A | 09-12-1993 |
| | | JP 8501158 T | 06-02-1996 |
| EP 0713273 A | 22-05-1996 | CA 2162975 A | 17-05-1996 |
| | | DE 69520547 D | 10-05-2001 |
| | | JP 8213676 A | 20-08-1996 |
| US 5436760 A | 25-07-1995 | JP 2751789 B | 18-05-1993 |
| | | JP 7028105 A | 31-01-1995 |

* For more details about this annex, see Official Journal of the European Patent Office, No. 12/82

THIS PAGE BLANK (USPTO)

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)